

§ 15.04

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Commodity	Number of contracts
Soybean Oil .....	200
Sugar No. 11 .....	500
Sugar No. 14 .....	100
Wheat .....	150
Broad-Based Security Indexes:	
Municipal Bond Index .....	300
S&P 500 Stock Price Index .....	1,000
Other Broad-Based Securities Indexes .....	200
Financial:	
30-Day Fed Funds .....	600
3-Month (13-Week) U.S. Treasury Bills .....	150
2-Year U.S. Treasury Notes .....	1,000
3-Year U.S. Treasury Notes .....	750
5-Year U.S. Treasury Notes .....	2,000
10-Year U.S. Treasury Notes .....	2,000
30-Year U.S. Treasury Bonds .....	1,500
1-Month LIBOR Rates .....	600
3-Month Eurodollar Time Deposit Rates .....	3,000
3-Month Euroyen .....	100
2-Year German Federal Government Debt ...	500
5-Year German Federal Government Debt ...	800
10-Year German Federal Government Debt ...	1,000
Goldman Sachs Commodity Index .....	100
Major Foreign Currencies .....	400
Other Foreign Currencies .....	100
U.S. Dollar Index .....	50
Natural Resources:	
Copper .....	100
Crude Oil, Sweet .....	350
Crude Oil, Sweet—No. 2 Heating Oil Crack Spread .....	250
Crude Oil, Sweet—Unleaded Gasoline Crack Spread .....	150
Gold .....	200
Natural Gas .....	200
No. 2 Heating Oil .....	250
Platinum .....	50
Silver Bullion .....	150
Unleaded Gasoline .....	150
Unleaded Gasoline—No. 2 Heating Oil Spread Swap .....	150
Security Futures Products:	
Individual Equity Security .....	1,000
Narrow-Based Security Index .....	200
Hedge Street Products .....	<sup>1</sup> 125,000
TRAKRS .....	<sup>1</sup> 50,000
All Other Commodities .....	25

<sup>1</sup>For purposes of part 17, positions in HedgeStreet Products and TRAKRS should be reported by rounding down to the nearest 1,000 contracts and dividing by 1,000.

[69 FR 76397, Dec. 21, 2004, as amended at 71 FR 37817, July 3, 2006]

§ 15.04 [Reserved]

§ 15.05 Designation of agent for foreign persons.

(a) For purposes of this section, the term “futures contract” means any contract for the purchase or sale of any commodity for future delivery, or a contract identified under section 36.3(b)(1)(i) as traded in reliance on the exemption in section 2(h)(3) of the Act, traded or executed on or subject to the rules of any designated contract market or registered derivatives trans-

action execution facility, or for the purposes of paragraph (i) of this section, a reporting market (including all agreements, contracts and transactions that are treated by a clearing organization as fungible with such contracts); the term “option contract” means any contract for the purchase or sale of a commodity option, or as applicable, any other instrument subject to the Act pursuant to section 5a(g) of the Act, traded or executed on or subject to the rules of any designated contract market or registered derivatives transaction execution facility, or for the purposes of paragraph (i) of this section, a reporting market (including all agreements, contracts and transactions that are treated by a clearing organization as fungible with such contracts); the term “customer” means any person for whose benefit a foreign broker makes or causes to be made any futures contract or option contract; and the term “communication” means any summons, complaint, order, subpoena, special call, request for information, or notice, as well as any other written document or correspondence.

(b) Any futures commission merchant who makes or causes to be made any futures contract or option contract for the account of any foreign broker or foreign trader, and any introducing broker who introduces such an account to a futures commission merchant, shall thereupon be deemed to be the agent of the foreign broker or the foreign trader for purposes of accepting delivery and service of any communication issued by or on behalf of the Commission to the foreign broker or the foreign trader with respect to any futures or option contracts which are or have been maintained in such accounts carried by the futures commission merchant. In the case of a futures commission merchant who makes or causes to be made any futures or option contract for the account of a foreign broker, the futures commission merchant and the introducing broker, if any, shall also be the agent of the customers of the foreign broker (including any customer who is also a foreign broker and its customers) who have positions in the foreign broker's